



LEGIS
GLOBAL FINANCIAL SOLUTIONS

Legis Fund Services



FD Fund Domiciles.com
Best Fund Administrator in Guernsey 2011

Client Focus | Expertise | Trust





Legis Fund Services – Group Overview

Headquartered in Guernsey, the Legis Group has been helping institutional and private clients for over 30 years. For institutional clients we establish and administer multi-jurisdictional corporate, trust and fund structures. We also provide a range of bespoke services to private clients.

Legis Vision

The vision for the Legis Group has three components:

-  **Client Focus** – We aim to be the service provider of choice, where proactive, dedicated client service is standard
-  **Excellence in execution** – We will meet and exceed our customer and regulatory needs – maintaining the highest standards, reputation and performance
-  **Employee engagement** – We will ensure that our people are engaged and have access to the tools, information and training they need to perform their jobs, develop their careers and meet the exacting needs of our clients

Why Legis?


-  **Focus** – We specialise in servicing the unique needs of investors with offshore structures – corporations, investment managers, institutional investors and private clients – backed by a 'what more can we do?' philosophy
-  **Experience** – We have over 30 years' experience in servicing complex offshore structures and a professionally qualified team
-  **Technology** – We recognise the fundamental role of technology in the servicing of complex offshore structures. We commit approximately 15% of our operating expense budget to technology











Legis Fund Services

Legis Fund Services provides comprehensive administration services to funds and their management companies. Headquartered in Guernsey, we provide services to funds and other investment vehicles domiciled in a wide variety of offshore locations.

Services

-  Fund establishment and support
-  Multi-currency valuation, accounting and general administration
-  Shareholder registration and dealing
-  Corporate secretarial
-  Regulatory compliance monitoring
-  Listing services

Fund Structures Serviced

-  'Traditional' – equity, bond, money market
-  Funds of funds
-  Private equity/venture capital
-  Partnerships/limited partnerships
-  Property
-  Distressed debt
-  Structured products
-  Hedge Funds

“We strive to provide a level of service that distinguishes Legis Fund Services and maximises the value of our experience for our clients.”





Legis Fund Services – What they say

Quotes from clients and non-executive directors about Legis Fund Services:

"The Legis Group's experience in servicing complex structures has given them an in-depth understanding of our challenges, backed up with the knowledge and expertise to provide solutions geared to our needs."

"Legis Fund Services are always my number 1 recommendation for the provision of fund administration services locally, and I am grateful that we have such a strong service supplier in the Channel Islands to support me in the work I do."

"We engage administrators in countries across the globe, and the service levels of Legis are incomparably superior to any other administrator. Legis' highly committed staff, their professional and methodical approach and valuable relationships with key providers combine to put Legis head and shoulders above any other similar administrator with which we have a relationship."



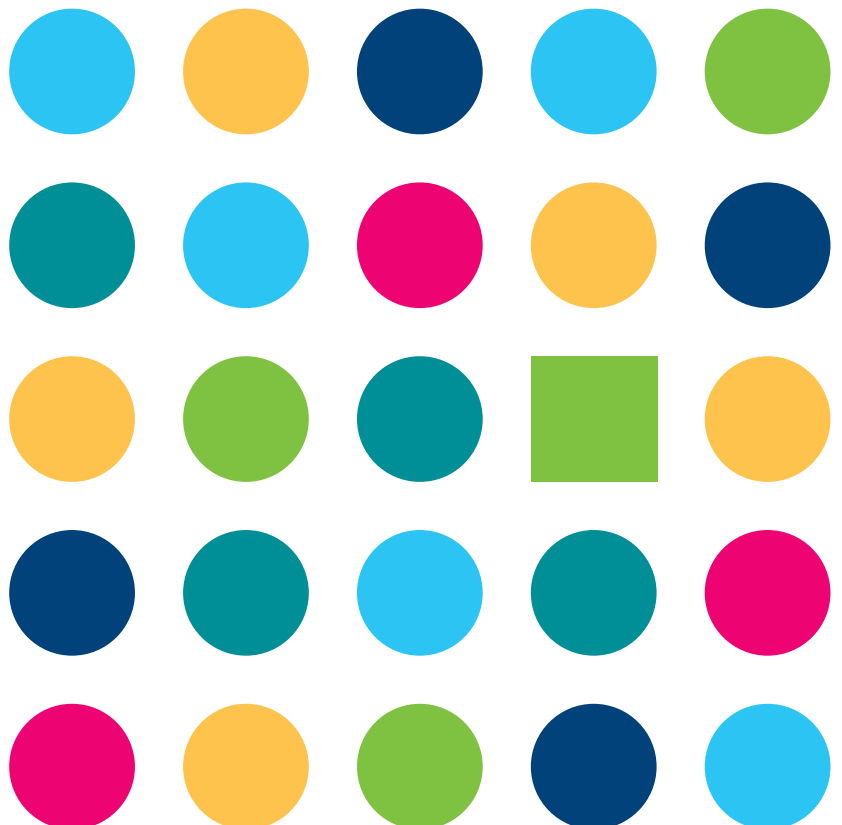
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Quote from HFMWeek 2012 Service Provider Awards judging panel:

"Legis has become a force to be reckoned with in the admin space. The judges said: a good quality business providing a sophisticated service for its clients."

Quote from HFMWeek 2011 Service Provider Awards judging panel:

"The judges felt that Legis develops and maintains a real relationship with clients. Small funds are often the ones that need the most support and, the judges said, Legis has an uncanny ability to come through for its clients on every issue. Legis provides a highly personalised service and is a worthy winner."





Legis Fund Services – Biographies

Senior Management Team

Stuart Platt-Ransom – Group Managing Director

Stuart Platt-Ransom is the Managing Director of the Legis Group, a role to which he was appointed in July 2007. Stuart spent the previous 12 years with State Street Corp in its South Africa, Luxembourg, Dublin, London and Guernsey offices in various management, operational, business development and relationship management roles. Prior to that, Stuart worked for GAM in the Isle of Man. Most recently, Stuart was the Managing Director of State Street's business in Guernsey and was responsible for its business in Africa from 2002 to 2006. Stuart serves as a director on the Legis Group companies and in addition holds a number of external directorships on listed private equity & property company structures as well as a Guernsey based investment management company. Stuart is a Chartered Fellow of the Chartered Institute for Securities & Investment, a Chartered Manager and Fellow of the Chartered Management Institute, a Fellow of the Institute of Leadership & Management and a Fellow of the Institute of Directors; he is a British and South African citizen and is a resident of Guernsey.



Patricia White – Managing Director, Legis Fund Services

Patricia is Managing Director of Legis Fund Services Limited and has over 20 years' experience in the offshore finance industry. Qualifying as a member of the Institute of Chartered Accountants of Scotland in 1988, her career in the offshore finance industry began in Guernsey with KPMG. Subsequently she was appointed as Assistant Director of Finance with Leopold Joseph before entering the funds industry with CIBC, Cayman Islands as a Mutual Funds Account Manager. Following her return to Guernsey she joined Butterfield Fund Services Limited where she had operational responsibility for a variety of projects, including implementation of their fund administration system. Patricia joined Legis from Anson Fund Managers Limited where she held the position of Managing Director. During this time she was also a director of its group companies and held a number of external directorships. Patricia is a Chartered Fellow of the Chartered Institute for Securities & Investment.



Valerie Goodwin – Associate Director

Valerie is an associate director and company secretary of Legis Fund Services Limited. She was born and educated in Guernsey and has over 20 years' experience in the offshore finance industry. After gaining a 1st class BA Honours degree in London in 1982 her career in the offshore finance industry began in Guernsey in 1987 with Rothschild Asset Management. She was first deputy to the sales and marketing director and later manager to their shareholder and broker services teams. She joined Butterfield Fund Services (Guernsey) Limited in 2004 as deputy company secretary, progressing to head the corporate secretarial team where she had responsibility for a variety of corporate structures including listed entities. Valerie joined Legis in 2010 and is responsible for the Corporate Secretarial Section of the Fund Administration Department.



Ian Price – Associate Director

Ian joined Legis in 2008 bringing with him over 12 years' experience in the offshore finance industry in Guernsey. In his current role he heads up the share registration and valuation functions, having initially been instrumental in the firm's system conversion project. Before moving to Legis, Ian worked at Northern Trust, then Butterfield Fund Services (Guernsey) Limited where he managed teams responsible for valuations and share registration on large and complex open and closed ended funds including hedge funds and private equity structures. Ian is a Fellow Member of the Association of Accounting Technicians (FMAAT) and an Associate Member of the Chartered Institute for Securities & Investment (ACSI).



David Walker – Fund Accounting Manager

David is a Fellow of the Association of Certified and Chartered Accountants and has a BA (Hons) from Leeds University. Since his return to Guernsey he has spent 15 years in the finance sector, gaining experience with Ernst & Young auditing clients across several sectors of the finance industry before moving to Client Accounting and Trust and Company administration. Prior to joining Legis in January 2008 David was Senior Manager of Equity Trust (Guernsey) Limited client accounting. David is currently Manager of the Fund accounting section.



Danny Fox – Fund Accountant

Danny has over 20 years' experience in the Guernsey finance industry. He is a qualified Chartered Certified Accountant and has also obtained the Association of Accounting Technicians qualification, having trained with a locally based audit firm. He was previously employed as a Fund Accountant with Bank of Butterfield before focusing on the administration of Private Equity vehicles in a management position with Ipes and SG Hambros. During this time he has dealt with a variety of structures and represented at Board level for a number of these entities. Danny joined Legis in 2010 as a Fund Accountant.





Legis Fund Services – Contact Details

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